# ATENTS COOPERATION TREA

REC'D. 0 4 NOV 2004

PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPOR

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference<br>14729WO16632  |  |                   |  | FOR FURTHER ACTION  See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |                      |                        |                                     | tional<br>CT/IPEA/416)   |  |
|--|--|-------------------|--|---|----------------------|------------------------|-------------------------------------|--------------------------|--|
|  | International application No. PCT/IL 03/00728  |                   |  | International filing date (04.09.2003   | (day/mon             | th/year)               | Priority date (day/month 04.09.2002 | lyear)                   |  |
|  | nationa<br>L27/3   |                   | nt Classification (IPC) or b   | oth national classification a   |                      |                        | <u> </u>                            |                          |  |
| Appli<br>YIS:  |  | ŖESI              | EARCH DEVELOPM   | ENT COMPANY OF  |                      |                        | Mari paratija i majar jang kasasa þ | Signal and Appending     |  |
| 1.   | This<br>Auth   | interr<br>ority a | national preliminary exa<br>and is transmitted to the  | mination report has bee<br>applicant according to   | n prepa<br>Article 3 | red by this Inte<br>6. | rnational Preliminary E             | xamining                 |  |
| 2.   | This   | REP               | ORT consists of a total of   | of 8 sheets, including th   | nis cove             | r sheet.               |                                     |                          |  |
|  | This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). |                   |  |   |                      |                        |                                     |                          |  |
|  | These annexes consist of a total of sheets.  |                   |  |   |                      |                        |                                     |                          |  |
| ·3.  | This   | rėpoi             | rt contains indications re   | elating to the following it   | tems:                |                        |                                     | ··· ··· ··· ··· ··· ··   |  |
|  | l  | $\boxtimes$       | Basis of the opinion   |   |                      |                        |                                     |                          |  |
|  | П  |                   | Priority   |   |                      |                        |                                     |                          |  |
|  | 111  | $\boxtimes$       | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability |   |                      |                        |                                     |                          |  |
|  | IV   |                   | Lack of unity of invention   |   |                      |                        |                                     |                          |  |
| V 🗵 Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial approximations and explanations supporting such statement |  |                   |  |   | al applicability;    |                        |                                     |                          |  |
|  | VI   |                   | Certain documents cited  |   |                      |                        |                                     |                          |  |
|  | VII  |                   | ☐ Certain defects in the international application   |   |                      |                        |                                     |                          |  |
|  | VIII   |                   | Certain observations   | on the international app  | lication             |                        | *****                               |                          |  |
| Date   | of sub   | missio            | on of the demand   |   | Date o               | f completion of th     | nis report                          |                          |  |
| 31.0   | 31.03.2004   |                   |  | 03.11   | .2004                |                        |                                     |                          |  |
|  |  |                   | g address of the internation   | nal   | Author               | ized Officer           |                                     |                          |  |
| preli  | minary   |                   | ining authority:<br>ropean Patent Office   |   |                      |                        |                                     | Canada Lateral of . E.   |  |
|  |  | D-I               | 80298 Munich   |   | Gans                 | chow, S                |                                     |                          |  |
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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

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| l. Basis d | of the repo | rt |
|------------|-------------|----|
|------------|-------------|----|

 With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

|                | De  | escription, Pages  | •  |                                       |                             |                                |                       |          |  |
|----------------|---|--|--|---------------------------------------|-----------------------------|--------------------------------|-----------------------|----------|--|
|                | 1-7   | 70   | as originally filed  | •                                     | •                           | -1-                            | •                     |          |  |
| . <i>, ,</i> . | . Cla   | aims, Numbers  | and the state of t |                                       |                             |                                |                       |          |  |
|                | 1-5   | 50   | as originally filed  | e e e e e e e e e e e e e e e e e e e | and the first of the second | e felik felendarikan<br>Teoria | enger en gelek i<br>S | • • •    |  |
|                | Cla   | aims, Pages  | •  |                                       | •                           |                                |                       |          |  |
|                | 71-   | -80  | as originally filed  |                                       |                             |                                |                       |          |  |
|                | Dra   | awings, Sheets   |  |                                       |                             |                                |                       |          |  |
|                | 1/1   | 1-11/11  | as originally filed  |                                       |                             |                                |                       |          |  |
|                | Dra   | awings, Figures  | •  |                                       |                             |                                |                       | •        |  |
|                | 1-1   | 0  | as originally filed  |                                       |                             |                                |                       |          |  |
| 2.             | Wit   | With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item. |  |                                       |                             |                                |                       |          |  |
|                | These elements were available or furnished to this Authority in the following language: , which is: |  |  |                                       |                             |                                |                       |          |  |
|                |   |  |  |                                       |                             |                                |                       |          |  |
|                |   | Interpret the language of publication of the international application (under Rule 48.3(b)).   |  |                                       |                             |                                |                       |          |  |
|                |   | the language of a translation Rule 55.2 and/or 55.3).  | n furnished for the purpos   | ses of interr                         | national pr                 | eliminary exa                  | amination (unde       | er       |  |
| 3.             | Wit<br>inte   | h regard to any <mark>nucleotide a</mark><br>rnational preliminary examina   | nd/or amino acid seque<br>ation was carried out on t   | e <b>nce</b> disclos<br>he basis of   | sed in the i                | international<br>ence listina: | application, the      | <b>;</b> |  |
|                |   |  |  |                                       |                             |                                |                       |          |  |
|                |   | I filed together with the international application in computer readable form.   |  |                                       |                             |                                |                       |          |  |
|                |   |  |  |                                       |                             |                                |                       |          |  |
|                |   | terminate additionally to this Authority in computer readable form.  |  |                                       |                             |                                |                       |          |  |
|                |   | The statement that the subs in the international application   | ar do mod rids been fulfill  | sneu.                                 |                             |                                |                       |          |  |
|                |   | The statement that the information listing has been furnished.   | mation recorded in comp  | uter readab                           | ole form is                 | identical to th                | ne written sequ       | ence     |  |
| ŀ.             | The   | amendments have resulted i   | in the cancellation of   |                                       |                             |                                |                       |          |  |

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|            |             | the description,  | pages:                                 |                              |   |                                    |  |  |  |
|------------|-------------|---|--|------------------------------|---|------------------------------------|--|--|--|
|            |             | the claims,   | Nos.:                                  |                              |   |                                    |  |  |  |
|            |             | the drawings,   | sheets:                                |                              |   |                                    |  |  |  |
| 5.         |             | since they have   |  |                              |   |                                    |  |  |  |
|            |             | (Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)   |  |                              |   |                                    |  |  |  |
| 6 <b>.</b> | Add         | litional observations,  | if necessary:                          | •.                           | en e  | . •                                |  |  |  |
| HL         |             |   |  |                              | elty, inventive step and industrial app   |                                    |  |  |  |
|            |             |   |  |                              | ,   | •                                  |  |  |  |
| ١.         | obv         | ious), or to be industr   | ie cialmed inver<br>ially applicable l | nave not bee                 | s to be novel, to involve an inventive steen examined in respect of:                  | p (to be non-                      |  |  |  |
| . [        |             | the entire international application,   |  |                              |   |                                    |  |  |  |
|            | $\boxtimes$ | claims Nos. 38-45   |  |                              |   |                                    |  |  |  |
|            |             | because:  |  |                              |   |                                    |  |  |  |
|            | Ø           | the said international application, or the said claims Nos. 38-45 relate to the following subject matter whice does not require an international preliminary examination (specify): |  |                              |   |                                    |  |  |  |
|            | ٠           | see separate sheet  |  |                              |   |                                    |  |  |  |
|            |             | the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):                   |  |                              |   |                                    |  |  |  |
|            |             | the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.  |  |                              |   |                                    |  |  |  |
|            |             | no international sear   | ch report has be                       | en establish                 | ned for the said claims Nos.  |                                    |  |  |  |
| 2.         | U, U        | eaningful internationa<br>mino acid sequence<br>ructions:   | al preliminary ex<br>listing to comply | amination ca<br>with the sta | annot be carried out due to the failure of<br>ndard provided for in Annex C of the Ad | the nucleotide and<br>ministrative |  |  |  |
|            |             | the written form has not been furnished or does not comply with the Standard.   |  |                              |   |                                    |  |  |  |
| ı          | · 🗆 ·       | the computer readab   | ole form has not                       | been furnist                 | ned or does not:comply with the Standar   | rd.                                |  |  |  |
| V.         | Rea         | soned statement ur  | nder Article 350                       | 2) with rega                 | rd to novelty, inventive step or indus  | triol applicability                |  |  |  |
|            | cita        | tions and explanation   | ons supporting                         | such state                   | ment  | ша аррпсавшту;                     |  |  |  |
| ١.         | Stat        | ement   |  |                              |   |                                    |  |  |  |
|            | Nov         | elty (N)  | Yes:<br>No:                            | Claims<br>Claims             | 49-50<br>1-48   |                                    |  |  |  |
|            | Inve        | entive step (IS)  | Yes:<br>No:                            | Claims<br>Claims             | 1-50  |                                    |  |  |  |

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Industrial applicability (IA)

Yes: Claims

1-37, 46-50

No: Claims

2. Citations and explanations

see separate sheet

## **EXAMINATION REPORT - SEPARATE SHEET**

### Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 38-45 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

### Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 1. **Documents**

- 1.1. The present application relates to a bone forming composition comprising bone marrow cells, demineralized bone matrix and a site-responsive polymer.
- 1.2. Reference is made to the following documents cited in the International Search Report:
  - D1: US 6 437 018 B1 (GERTZMAN ARTHUR A ET AL) 20 August 2002 (2002-08-20)
  - D2: US 6 326 018 B1 (GERTZMAN ARTHUR A ET AL) 4 December 2001 (2001-12-04)
  - D3: EP 0 419 275 A (OSTEOTECH INC) 27 March 1991 (1991-03-27)
  - ·· D4: · US 5 314 476 A (PREWETT ANNAMARIE B./ET AL) 24 May 1994 · (1994-05-24)
    - D5: WO 96 28539 A (MORPHOGEN PHARMACEUTICALS INC; NORTH SHORE UNIV HOSPITAL (US)) 19 September 1996 (1996-09-19)
    - D6: DATABASE MEDLINE [Online] US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; April 1995 (1995-04) CONNOLLY J F: "Injectable bone marrow preparations to stimulate osteogenic repair." Database accession no. NLM7641502 XP002228844

**EXAMINATION REPORT - SEPARATE SHEET** 

- D7: WO 99 11298 A (GENSCI REGENERATION LAB INC) 11 March 1999 (1999-03-11)
- D8: DATABASE MEDLINE [Online] US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; November 1982 (1982-11) LINDHOLM T S ET AL: "Extraskeletal and intraskeletal new bone formation induced by demineralized bone matrix combined with bone marrow cells." Database accession no. NLM6216033 XP002228845
- 1.3. Reference is made to the passages cited in the International Search Report.

### Clarity 2.

2.1. Present claim 1 relates to 'site-responsive polymers'. However, dependent claim 26 presenting a group of various possible compounds refers to oligomers, glycerol, drugs in general, hormones, enzymes and peptides which do not appear to fall into the scope of the term 'site-responsive polymer'. This inconsistency leads to doubt concerning the matter for which protection is sought, thereby rendering the claims unclear (Article 6 PCT).

#### 3. Method of treatment

3.1. For the assessment of the present claims 38-45 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

### 4. **Novelty**

4.1. Document D1 discloses demineralized bone particles mixed in a fluid carrier such as sodium hyaluronate (=site-responisve polymeric system). Bone marrow cells may be added (see claim 26). D1 also teaches a method of introducing the composition into bone.

- 4.2. D2 teaches a malleable bone putty and a flowable gel composition for application to a bone defect site to promote new bone growth. The composition comprises demineralized bone powder and hyaluronic acid and chitosan (see claim 1). Bone marrow cells or mesenchymal stem cells may also be included (column 6, line 17).
- 4.3. D3 discloses a flowable demineralized bone powder composition and its use in bone repair. The composition comprises a biocompatible carrier such as glycerol, polyvinyl alcohol, cellulosic esters, gelatin, collagen, polyacrylic acid salts or oligosaccharide (column 5, line 25-38 and claims 1-3). It may also contain mesenchymal stem cells (claim 18).
- 4.4. Document D4 relates to a flowable osteogenic composition comprising demineralized bone particles, a biocompatible fluid carrier (e.g., oligosaccharides, polysaccharides, glycerol, alginic acid) and optionally bone marrow cells/ mesenchymal stem cells.
- 4.5. Thus, D1-D4 already disclose bone forming combinations comprising bone marrow cells, demineralized bone matrix and a site-responsive polymer.

Present claims 1-48 referring to a composition for use in bone replacement are therefore not novel in terms of Art. 33(2) PCT.

### 5. **Inventive step**

- 5.1. Since claims 1-48 are not novel pursuant to Art. 33(2) PCT, no final decision can be made concerning inventive step. However, if novelty could be established, the present application would probably not fulfill the criteria of Art. 33(3) PCT for the following reasons:
- 5.2. Present documents D5 and D7 already relate to bone forming compositions comprising a) site-responsive polymers in combination with b) bone marrow cells and demineralized bone, respectively.
  - 5.3. D6 discloses that injections or direct transplantation of bone marrow preparations stimulates osteogenesis. Addition of demineralized bone matrix increases the efficiency of bone marrow to form bone.

## INTERNATIONAL PRELIMINARY

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**EXAMINATION REPORT - SEPARATE SHEET** 

D8 discloses that combinations of bone marrow demineralized bone matrix act synergistically to induce extraskeletal and intraskeletal new bone formation.

5.4. Thus, it would have been obvious to the person skilled in the art to add either bone marrow cells or demineralized bone to the compositions according to D5 and D7, thereby arriving at a composition as claimed in present claim 1.

The subject-matter of claim 1 does therefore not involve an inventive step (Article 33(3) PCT).

5.5. Moreover, nothing inventive (Art. 33(3) PCT) can be seen in a kit according to present claims 49-50 comprising standard features.

However, it is noted that the kit according to present claims 49-50 does not comprise bone marrow cells resulting in a lack of clarity as independent claim 49 does not contain all features essential to the definition of the invention. Hence, claim 49 does not meet the requirement following from Article 6 PCT taken in combination with Rule 6.3(b) PCT that any independent claim must contain all the technical features essential to the definition of the invention.